

Details on Customer Complaints

Sr. No	Particular	Page No
1	Trading Complaints Received by Stockbroker	2-3
2	DP Complaints Received by Depository Participant	4-5
3	Research Complaints Received by Research Analyst	6-7
4	Merchant Banking Complaints Received by Merchant Banker	8-9

Complaints received by Stockbrokers bearing SEBI Registration number- INZ000163138 for the month of August 2025

Data for every month ending – August 2025

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	13	3	10	3	0	0
2	SEBI (SCORES)	55	92	78	69	78	0	0
3	Stock Exchanges (if relevant)	2	4	1	5	1	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	57	109	82	84	82	0	0

Monthly Trend for disposal of complaints FY 25-26

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	Apr-25	73	101	82	92
2	May-25	92	103	108	87
3	Jun-25	87	83	108	62
3	Jul-25	62	99	104	57
5	Aug-25	57	109	84	82
6	Sep-25				
7	Oct-25				
8	Nov-25				
9	Dec-25				
10	Jan-26				
11	Feb-26				
12	Mar-26				
	Grand Total	371	495	486	380

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

Sr.No	Year	Carried forward from previous month of the year	Received during the year	Resolved during the year*	Pending at the end of month (As on August 2025)
1	2019-20	0	0	0	0
2	2021-22	0	1	1	0
3	2022-23	5	507	488	24
4	2023-24	174	786	756	204
5	2024-25	1146	1701	1658	1189
6	2025-26	371	495	486	380
	Grand Total	1696	3490	3389	1797

Complaints received by Depository Participants bearing SEBI Registration number IN-DP-589-2021 for the month of August 2025.

Data for every month ending – August 2025

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	2	9	2	9	2	0	0
3	Depositories	2	15+1	4+1	13	4+1	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	4	25	7	22	7	0	0

Trend of Monthly disposal of Complaints (FY 25-26)

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2025	10	18	20	8
2	May 2025	8	27	28	7
3	June 2025	7	26	22	11
3	July 2025	11	25	32	4
5	August 2025	4	25	22	7
6	September 2025				
7	October 2025				
8	November 2025				
9	December 2025				
10	January 2026				
11	February 2026				
12	March 2026				
	Grand Total	40	121	124	37

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the year (As on August 2025)
1	2020-21	NA	NA	NA	NA
2	2021-22	0	0	0	0
3	2022-23	0	5	5	0
4	2023-24	22	176	178	20
5	2024-25	117	298	291	124
6	2025-26	40	121	124	37
	Grand Total	179	600	598	181

*SEBI CDSL Depository Participant Registration was received in the month of May 2021



Complaints received by Research Analyst bearing SEBI Registration number INH000007526 for the month of Aug 2025

Data for every month ending – Aug 2025

Sr. No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (FY 25-26)

Sr. No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
3	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	-	-	-	-
7	October 2025	-	-	-	-
8	November 2025	-	-	-	-
9	December 2025	-	-	-	-
10	January 2026	-	-	-	-
11	February 2026	-	-	-	-
12	March 2026	-	-	-	-
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month



Trend of annual disposal of complaints

Sr. No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	0	0	0
6	2025-26	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

*Research Analyst Registration was taken in January 2020



Complaints received by Merchant Bankers bearing SEBI registration number INM000012485 for the month of August 2025

Data for every month ending – August 2025

Sr.No	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Monthly trend for the financial year – FY 2025-26

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
3	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	-	-	-	-
7	October 2025	-	-	-	-
8	November 2025	-	-	-	-
9	December 2025	-	-	-	-
10	January 2026	-	-	-	-
11	February 2026	-	-	-	-
12	March 2026	-	-	-	-
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month



Trend of annual disposal of complaints

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024	0	0	0	0
4	2025	0	0	0	0
5	2026				
	Grand Total	0	0	0	0